

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Knoblock et al. **Docket:** RIC97006P1
Serial No.: 09/995,193 **Art Unit:** 2171
Filed: November 27, 2001 **Examiner:** Not Assigned
Title: **METHOD, SYSTEM AND PROGRAM PRODUCT THAT UTILIZE A
HIERARCHICAL CONCEPTUAL FRAMEWORK TO MODEL AN
ENVIRONMENT CONTAINING A COLLECTION OF ITEMS**

**PETITION FOR CONTROL OF ACCESS TO APPLICATION BY ASSIGNEE IN
ACCORDANCE WITH MPEP 106**

Assistant Commissioner for Patents
Washington, D.C. 20231

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SEP 13 2002

Technology Center 2100

Dear Sir:

On behalf of the Assignee of entire interest for this Application, the undersigned hereby requests that named co-inventor, Mike Golobay, and/or any representatives acting on his behalf in this matter be prevented from obtaining access to this Application except as may be otherwise provided for in statutes, regulations or procedures relevant to the conduct of the U.S. Patent Office. It is respectfully requested that this restriction apply to all manner of access while the subject file remains in confidence at the Patent Office, including access to inspect or copy and the conducting of any prosecution activities, including express abandonment. It is further requested that information about the status of the Application be held in confidence except as such information becomes publicly accessible under the provisions of 37 CFR 1.14.

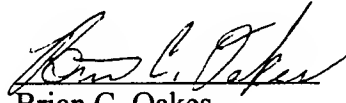
The undersigned further notes that Mr. Golobay has assigned this application to MCI Communications Corp. (a wholly owned subsidiary of WorldCom, Inc.) by virtue of an employment agreement recorded at Reel 012976 Frame 0933 in the Assignment Division of the U.S. Patent and Trademark Office. The remaining co-inventors have assigned this application to WorldCom, Inc. (See Reel/Frame 012780/0380 and 012780/0403 in the Assignment Division of the U.S. Patent and Trademark Office). Also enclosed herewith is a petition fee under 37 C.F.R. 1.17(h) for 130.00.

09/11/2002 AOSMAN1 00000101 132491 09995193

01 FC:122 130.00 CH

Accordingly, Applicant respectfully requests prompt consideration and grant of this petition. To the extent necessary, please charge any shortage fees due in connection with the filing of this paper, including extension of time fees and petition fees, to Deposit Account No. 13-2491, and please credit any excess fees to such deposit account. If the Office feels that it is necessary for further discussion, the undersigned attorney can be reached at (202) 736-6371.

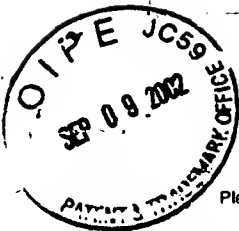
Respectfully submitted,


Brian C. Oakes
Reg. No. 41,467

Dated: September 4, 2002

WorldCom, Inc.
1133 19th Street, NW
Washington, D.C. 20036

Phone: (202) 736-6371
Fax: (202) 736-6824



COPY OF PARTS
ORIGINALLY FILED

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2171 \$

Please type e plus sign (+) inside this box → ☐

PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0851-0031
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL FORM (to be used for all correspondence after initial filing)	Application Number	09/995,193	
	Filing Date	November 27, 2001	
	First Named Inventor	Terry Knoblock et al.	
	Group Art Unit	2171	
	Examiner Name	NOT ASSIGNED	
Total Number of Pages in This Submission	7	Attorney Docket Number	RIC97006P1

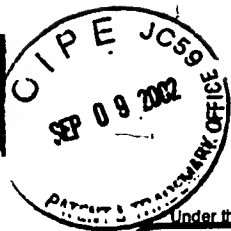
ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input checked="" type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Return Postcard
<div style="text-align: right;">RECEIVED SEP 13 2002 Technology Center 2100</div>		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Brian C. Oakes Reg. No. 41,467 WorldCom, Inc.
Signature	
Date	September 4, 2002

CERTIFICATE OF MAILING			
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, DC 20231 on this date: September 4, 2002			
Typed or printed name	Tracy Goodwin		
Signature		Date	September 4, 2002

Burdan Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

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FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$)**130.00**

Complete if Known

Application Number	09/995,193
Filing Date	November 27, 2001
First Named Inventor	Terry Knoblock et al.
Examiner Name	NOT ASSIGNED
Group Art Unit	2171
Attorney Docket No.	RIC97006P1

METHOD OF PAYMENT

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number **13-2491**
Deposit Account Name **WorldCom, Inc.**

- ☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

☐ Applicant claims small entity status. See 37 CFR 1.27

2. ☐ Payment Enclosed:

☐ Check ☐ Credit card ☐ Money Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Code (\$)	Small Entity Code (\$)	Fee Description	Fee Paid
101 740	201 370	Utility filing fee	
106 330	206 165	Design filing fee	
107 510	207 255	Plant filing fee	
108 740	208 370	Reissue filing fee	
114 160	214 80	Provisional filing fee	

SUBTOTAL (1) (\$)

2. EXTRA CLAIM FEES

Total Claims -20** = X =
Independent Claims -3** = X =
Multiple Dependent =

Large Entity Code (\$)	Small Entity Code (\$)	Fee Description
103 18	203 9	Claims in excess of 20
102 84	202 42	Independent claims in excess of 3
104 280	204 140	Multiple dependent claim, if not paid
109 84	209 42	** Reissue independent claims over original patent
110 18	210 9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$)

*or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
105 130	205 65	Surcharge - late filing fee or oath	
127 50	227 25	Surcharge - late provisional filing fee or cover sheet	
139 130	139 130	Non-English specification	
147 2,520	147 2,520	For filing a request for ex parte reexamination	
112 920*	112 920*	Requesting publication of SIR prior to Examiner action	
113 1,840*	113 1,840*	Requesting publication of SIR after Examiner action	
115 110	215 55	Extension for reply within first month	
116 400	216 200	Extension for reply within second month	
117 920	217 460	Extension for reply within third month	
118 1,440	218 720	Extension for reply within fourth month	
128 1,960	228 980	Extension for reply within fifth month	
119 320	219 160	Notice of Appeal	
120 320	220 160	Filing a brief in support of an appeal	
121 280	221 140	Request for oral hearing	
138 1,510	138 1,510	Petition to institute a public use proceeding	
140 110	240 55	Petition to revive - unavoidable	
141 1,280	241 640	Petition to revive - unintentional	
142 1,280	242 640	Utility issue fee (or reissue)	
143 460	243 230	Design issue fee	
144 620	244 310	Plant issue fee	
122 130	122 130	Petitions to the Commissioner	
123 50	123 50	Processing fee under 37 CFR 1.17(q)	
126 180	126 180	Submission of Information Disclosure Stmt	
581 40	581 40	Recording each patent assignment per property (times number of properties)	
146 740	246 370	Filing a submission after final rejection (37 CFR § 1.129(a))	
149 740	249 370	For each additional invention to be examined (37 CFR § 1.129(b))	
179 740	279 370	Request for Continued Examination (RCE)	
169 900	169 900	Request for expedited examination of a design application	

Other fee (specify)

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$)**130.00**

SUBMITTED BY

Name (Print/Type) **Brian C. Oakes**

Registration No. (Attorney/Agent)

41,467

Complete (if applicable)

Telephone

202-736-6371

Signature

Brian C. Oakes

Date

September 4, 2002

WARNING: information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

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